



**ADVANCED**  
SHARE REGISTRY

All correspondence and enquiries to:

110 Stirling Highway, Nedlands Western Australia 6009

PO Box 1156, Nedlands Western Australia 6909

Telephone: (in Australia) 1300 113 258

(from overseas) +61 8 9389 8033

Facsimile: +61 8 6370 4203

Suite 8H, 325 Pitt Street, Sydney NSW 2000

PO Box Q1736, Queen Victoria Building, NSW 1230

Telephone: (02) 8096 3502

Website: [www.advancedshare.com.au](http://www.advancedshare.com.au)

Email: [admin@advancedshare.com.au](mailto:admin@advancedshare.com.au)

Company or Trust in which the Investment is Held

Registered Name(s)

Registered Address

Securityholder Reference Number (SRN)

## SECTION 1071B STATEMENT

**Uncertificated CHESS Holdings** – ORIGINAL form must be forwarded to the CHESS Sponsoring Broker or Non-Broker Participant.

**Uncertificated Issuer Sponsored Holdings** – ORIGINAL form must be forwarded to the Issuer's Registry or to the selling broker as appropriate.

Please complete this form in **BLACK INK** using **CAPITAL LETTERS**.

### **A** SECTION 1071B STATEMENT

Where Probate/Letters of Administration has/have been granted or resealed in an Australian State or Territory other than where the securities are registered, a statement in writing as prescribed by Section 1071B of the Corporations Act 2001 must be lodged, together with a transfer/transmission within three months of the statement being made.

I/we do solemnly and sincerely declare I am/we are the personal representative(s) namely the executor(s) of the will/administrator(s), to whom Probate/Letters of Administration has/have been granted for the above deceased estate and which grant has not been revoked.

Full name of Executor(s) or Administrator(s)

To the best of my/our knowledge, information and belief no grant or reseal of representation of the estate has been applied for or made in

(Australian State or Territory where the securities are registered)

and no application for such a grant will be made.

Contact Name

Telephone Number

Email

### **B** SIGN HERE – This section must be signed and witnessed for your instructions to be executed

Executor/Administrator (delete one)

Executor/Administrator (delete one)

Executor/Administrator (delete one)

Witness

Witness

Witness

Day

Month

Year

The witness(es) certifies that the person(s) who has/have signed this statement is/are known to them and has/have signed in the presence of the witness with their normal signature(s)

**Executors/Administrators:** When the holding is in the name of an Estate, all Executors/Administrators are required to sign.

**Power of Attorney:** To sign as Power of Attorney, you must have already lodged it with the registry or selling broker as appropriate. Alternatively, attach a certified photocopy of the Power of Attorney to this form.

**NOTE:** **Australian** The Corporations Act 2001 imposes severe penalties for making a false statement (\$10,000 or 2 year s imprisonment or both) or failing to ensure a statement is not false or misleading (5,000 or 1 year imprisonment or both).

**Overseas** Please complete this statutory declaration in accordance with the laws of the country in which it is declared and ensure it is legally witnessed.

## HOW TO COMPLETE THIS FORM

### **A** Section 1071B Statement

Enter the following in the spaces provided. Complete the full name(s) of all Executor(s)/Administrator(s) and one address.

1. The full name(s) of all Executor(s) or Administrator(s).
2. The Australian State or Territory where the securities are registered.
3. A contact name and telephone number of a person in the event that the registry has a query regarding this form

Important notice: If the holding is a broker sponsored holding in CHESS, do not send this completed form to Advanced Share Registry. You must contact the sponsoring broker to lodge a Section 1071B Statement.

**B** Signature– All Executor(s)/Administrator(s) must sign in the presence of a witness who is known to them.